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FCA prohibits six individuals for their part in unauthorised collective investment scheme

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In a case brought by the Financial Conduct Authority (FCA) Scott Crawley, Daniel Forsyth, Adam Hawkins, Ross Peters, Aaron Petrou and Dale Walker have today been prohibited from performing any function in relation to any regulated activity for their parts in the operation of an unauthorised collective investment scheme.

Between July 2008 and November 2011 these individuals were involved in the operation of an unauthorised collective investment scheme through three companies: Plott Investments Ltd (which changed its name to Plott UK Ltd), European Property Investments (UK) Ltd and Stirling Alexander Ltd.

The scheme led to over 100 investors losing just under £4.3 million and the individuals who operated the scheme received sentences totalling more than 30 years. Between them, these individuals were convicted of offences including:

- breaching, or aiding and abetting the breach of, the general prohibition (the carrying on or purported carrying on of a regulated activity without authorisation or exemption)
- possessing criminal property
- conspiracy to defraud
- providing information knowing it to be false or misleading

The specific offences of which each individual was convicted are detailed in the relevant Final Notices.

Notes to editors

1. [Final Notice for Scott Michael Crawley](#)
2. [Final Notice for Daniel Forsyth](#)
3. [Final Notice for Adam Philip Hawkins](#)
4. [Final Notice for Ross Tony Peters](#)
5. [Final Notice for Aaron \(otherwise known as Arron\) Lee Petrou](#)
6. [Final Notice for Dale Robert Walker](#)
7. On 1 April 2013, the FCA became responsible for the conduct supervision of all regulated financial firms and the prudential supervision of those not supervised by the Prudential Regulation Authority (PRA).
8. The FCA has an overarching strategic objective of ensuring the relevant markets function well. To support this it has three operational objectives: to secure an appropriate degree of protection for consumers; to protect and enhance

the integrity of the UK financial system; and to promote effective competition in the interests of consumers.
9. Find out more information [about the FCA](#).

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